#### What we know about:

#### Leveraging the Social Determinants of Health

by Federally Qualified Community Health Centers in the U.S. Community Health Centers Leveraging the Social Determinants of Health



Supported by a grant from THE KRESGE FOUNDATION

#### Clem Bezold, Ph.D.

Chairman and Senior Futurist Institute for Alternative Futures October 9, 2012

# On the Future: Trends & Developments Accelerating Health Equity

and

# Community Health Centers Leveraging the Social Determinants of Health



# On the Future:

# Trends & Developments related to ICTs Accelerating Health Equity



# On the Future: Trends & Developments Accelerating Health Equity

#### Health Equity, a major trend & humanity maturing

See "Report 11 01" at <u>www.altfutures.org/draproject</u>

#### Increasing Population Health = a goal of society and of health care (one part of the Triple Aim)

Focus on the Social Determinants of Health (SDH)

From Patient-Centered Medical Home to Community-Centered Health Home



# **Major Factors Shaping Health**

	M1993	M2002	НРС	CHR
Behavior	50%	40%		30%
Socioeconomic conditions			50%	40%
Environment	20%			10%
Social		15%		
Physical		5%	10%	
Genes	20%	30%	15%	
Healthcare	10%	10%	25%	20%

Source: 1993 – M = McGinnis and Foege, JAMA, 1993, 270, 2207-2212; 2002 - McGinnis, Russo, Knickman, 2002, Health Affairs, 21,3,83; HPC – "Healthy, Productive Canada, Final Report of the Senate Subcommittee on Population Health. June 2009; CHR = County Health Rankiings, 2010 *www.countyhealthrankings.org/* 

On the Future: Trends & Developments Accelerating Health Equity

Genomics, Proteomics, Zipcodeomics
Personal Biomonitoring
Digital Health Coaches
Community Mapping



# Community Health Centers Leveraging the Social Determinants of Health

An IAF partnership with the National Association of Community Health Centers

**Funded by The Kresge Foundation** 



### **Community Health Centers in the U.S.**

The "safety-net providers" for U.S. health care. 1,128 organizations with 8,500+ sites Serving 7% of the US population (20.2 million patients)

- Started in the 1960s as part of the Office of Economic Opportunity.
- ½ of their governing boards must be community residents

## **Defining "CHCs Leveraging SDH"**

A clinic is leveraging the social determinants of health (SDH) when it moves beyond providing clinical care to addressing or changing the built environment or social and economic conditions that affect health and wellbeing.

# **Research Approach**

- Create Database
  - 176 Efforts/52 CHCs (incl. 50 FQHCs)
  - Limitations: not random sample, not exhaustive
  - But indicative & supplemented by CDN lit. review, 10 case studies, HRSA/BPHC data
- www.altfutures.org/leveragingSDH
- Primary Care Association Surveys
- Key literature; online searching; announcements
- CDN literature review of cases in peer reviewed journal
- Conference sessions NACHC 2011 P&I, CHI, CDN Webinar
- Specific network requests

# **Patterns & Observations**

# Why do CHCs leverage the SDH?

- Are well-positioned for it, because they know their communities well
  - Employ community members, governed by a community board, conduct community assessments, have a stable & long-term presence
- HRSA program expectations call for it
- It's in the DNA of CHCs
- Believe that sustainable impact on health requires community-level interventions.
- Models of primary care and health quality increasingly require improved population health

## **Most CHCs Leverage the SDH!**

In 2007, CHCs reporting to HRSA/BPHC provided or made referrals for:

98.7% - health education	90.1% - food banks or delivered meals		
98.3% - eligibility assistance	90.1% - obtaining suitable shelter		
94.8% - WIC services	89.7% - Head Start services		
92.4% - parenting education	89.0% - employment and educational counseling services		
91.1% - nursing home and assisted-living placements	82.9% - environmental health risk reduction programs		
68.1% - child care during a patient's visit to the center			

### **Most Frequent Types of Efforts in IAF Database**

(17 or more out of 176 efforts, among 52 CHCs)

- > Youth development programs (28% of efforts; 50% of CHCs)
- Family and social support (25% of efforts; 31% of CHCs)
- Access to healthy foods (23% of efforts; 60% of CHCs)
- Job skills, employment, and workforce development (22% of efforts; 40% of CHCs)
- Health education (21% of efforts; 50% of CHCs)
- Physical Activity and Exercise (19% of efforts; 48% of CHCs)
- Community safety, wellbeing, and involvement (19% of efforts; 44% of CHCs)
- Nutrition education (16% of efforts; 44% of CHCs)
- Healthy, safe, and affordable housing (16% of efforts; 33% of CHCs)
- Recreational spaces and improved air and water quality in the community (11% of efforts; 25% of CHCs)
- Adult education (10% of efforts; 21% of CHCs)

#### Examples of Nationwide Programs among CHCs for Leveraging SDH

- Reach Out and Read 18% of all 8,100 CHC sites in 2010
- National Center for Medical-Legal Partnership in 150 CHCs
- Health Leads 660 college volunteers, across 22 sites in 2010, including 5 CHCs
- AmeriCorps & NACHC Community HealthCorps Nearly 500 members in CHCs often functioning as community health workers & advancing community gardening
- Women, Infants and Children Program (WIC)
- United Way

#### **Health Issues that Prompt SDH Efforts**

- Most frequently: obesity, diabetes, hypertension, cardiovascular disease and asthma
- But also: behavioral or psychiatric problems among community members, e.g., depression among socially isolated seniors
- Less frequently: parasitic worms; mercury content in local fish

### Identifying Opportunities & Targets for Leveraging SDH

- Most often in response to an issue brought to the attention of the clinic by CHC board, leadership, staff, patients
- Grant opportunities
- A non-CHC organization approaches the CHC or provides the opportunity, e.g. national programs
- Uncommon and significant events: a violent beating of an immigrant, parasitic worm infections, natural disasters, high levels of mercury and PCPs in local waters
- Stepping in to enable the survival of existing programs or efforts by others

### Partnership, Partnerships, Partnerships

- Frequent Partners: public housing, churches, community centers, YMCA, public health departments, hospitals, local social agencies, local police, politicians, schools, local business, government agencies, builders and developers
- Community support and enthusiasm is essential and community input affects program design
- CHCs most often a lead or major partner in the development and implementation of efforts, rather than playing smaller facilitating roles

# **Financing Efforts to Leverage SDH**

#### Most efforts are not self-sustaining

- ▶ 64 or more (36%) primarily rely on public or private grants
- Most private grants come from foundations, esp. local foundations
- Grants may range from \$2,500 to \$8 million (IAF database)
- CHCs renew the same grants or move a program every 1-3 years from one grant to another, incl. modify program design to fit funder's interests
- Only 10 or more (6%) include income-generating activities, e.g., housing that produces adequate rental income, farmers' markets that collect a fee to participate

# SDH in the CHC Budget

<u>Many supplement, jumpstart, or temporarily support</u> <u>efforts through CHC operating budget and donations</u>

- > 28 or more (16%) rely on internal funding to varying degrees
- Also use low-cost resources such as free legal aid, donated goods, AmeriCorps or HealthLeads volunteers
- May supplement with large annual fundraising events
- May consider SDH efforts as cost-effective marketing

# **SDH in the CHC Budget**

Estimating the share of a CHC's total work or funds that go to leveraging SDH is challenging.

- 2 CHCs with a SDH-specific department: 1.7% to 3.4% (SSCHC, Environmental Health; CHP, Learning Partners)
- 2 CHCs with no SDH-specific departments: 5.5% and 6.7% (La Clinica, Addabbo)
- CHC with multiple Depts. and subsidiaries for SDH: 28% (Sea Mar CHCs)

However, leveraging the SDH is often built into ongoing operations, including hiring practices, referral systems, and organizational mindset

# **Program Sustainability**

- Renew grants or move a program every 1-3 years from one grant to another
- Meet performance requirements at each renewal to maintain government sponsorship, e.g., charter schools, WIC, and government subsidies for low-income housing.
- Pair with income-generating activities, e.g., housing that produces adequate rental income, farmers' markets that collect a fee to participate
- Use donations and/or CHC operating budget if cost is small, e.g., for students to shadow health professionals, providing nonpartisan voter registration forms
- Create and later transfer efforts to other organizations or turn into small businesses.

## Selected results from 10 CHC case studies

Kalihi Valley Instructional Bicycle Exchange / Kokua Kalihi Valley Comprehensive Family Services, Honolulu, HI

- Created 100 acre park, organic gardening, indigenous foods, celebrating Hawaiian culture
- Supported successful passage of a formal commitment make Honolulu a bicycle- and pedestrian-friendly city
- Over 4 years:
  - ~2,000 bikes refurbished and provided to community
  - 20 bike racks installed in community



# Menomonee Valley, Community Lead Outreach Project / Sixteenth Street Community Health Center

Milwaukee, WI

In 10 years:

- 300 acres of brownfields developed
- 21 companies moved to valley, 7 existing companies expanded
- 4,200 family-supporting local jobs created
- 900,000+ sq. ft. of green buildings and 7 miles of trails constructed
- 45 acres of native plants installed (improved wildlife habitat and water quality)



 Dropped the prevalence of lead poisoning among children from 34 percent in 1996 to 1.8 percent in 2011 Learning Partners / Community Health Partners Livingston, MT

#### In 2010:

- Supported 37 GED grads (vs. 110 HS grads)
- Distributed 200 books each month through the Reach Out and Read
- Placed 18 adults in subsidized employment
- Provided workplace training for 94 participants.
- Served 44 families through its preschool program
- Served an average of 45 parents and children through a weekly Open Gym.



### **Scholarships & Housing / Sea Mar Community Health Centers**

Seattle, WA

#### <u>Housing</u>

- ~200 beds and adequate/safe housing for farmworkers
- 20 rental apartments for lowincome families plus 5 units for families transitioning from homelessness (all fully occupied since 2010)

#### Scholarships (\$1,000@)

- 140+ scholarships in 2010
- 1,600 students since 1995



Septic Tanks, Cluster Wells / Beaufort-Jasper-Hampton Comprehensive Health Services Ridgeland, SC

- Eliminated parasitic worm infections among local children
  - Compared to: at least 50 percent of preschool children in Beaufort County were suffering from parasitic worms in the late 1960s
- Some years installed up to 70 septic systems.
- Total of 1,000 deep cluster wells, incl. electricity to run pump
- Total of 2882 bathrooms
- Helped organize water systems and fire protection for two counties
- Worked with state government to change policy and mandate better sanitary services

### **Evaluation of SDH Efforts among CHCs**

- Many show impressive results, but most have not been formally evaluated
- CHCs meet all grant reporting requirements
- There is little published on impact of programs that leverage the SDH
- 5 of 52 CHCs partnered with a third-party evaluator, paid through the grant for a particular program/effort

### **Evaluation of SDH Efforts among CHCs**

Monitoring & Evaluation challenges:

- CHC clients are in transient communities, so subjects may leave before evaluation completed
- Some people benefit from programs but are not necessarily patients of the CHC, so health or SDH records are absent or limited
- True program impact may take years, but grants are short (1-3 years)
- Prospective studies are expensive

### **CHC Knowledge Sharing Networks**

- Multiple organizations: NACHC, state and local Primary Care Associations, Center Controlled Networks (for specific functions).
  - Many are focusing on leveraging the SDH; South Carolina, Hawaii, DC, NorthWest Regional PCA,
- Need to make it easier systemic community analysis/mapping, patient intake forms that include SDH related risks; consistent reporting for HRSA;

# Building the CHCs Leveraging SDH Database

- more information, including the whole database is available at <u>www.altfutures.org/leveragingSDH</u>
- For Primary Care Futures, including HIT advances, see Primary Care 2025 at <u>www.altfutures.org/primarycare2025</u>

# Thank You.

#### Clem Bezold, Ph.D.

Chairman and Senior Futurist Institute for Alternative Futures cbezold@altfutures.org | 703.684.5880 www.altfutures.org